



Executive

13 February 2020

Report of the Corporate Finance & Commercial Procurement Manager
(Interim S151 officer)
Portfolio of the Executive Member for Finance and Performance

Capital Budget 2020/21 to 2024/25

Summary

1. The Council Plan for 2019-23 was formally approved by Full Council on Thursday 31 October 2019 following consultation with residents, businesses and staff, based on our statutory responsibilities and the priorities of our administration. The plan is built around eight key outcomes.
2. This report sets out the Capital Strategy for 2020/21 to 2024/25, and in particular sets out new capital schemes. Over £55m will be added to the Council's Capital Budget to support the objectives outlined in the new Council Plan, including £6.6m on procuring greener waste vehicles, £3m to develop the Northern Forest, £2.682m to progress the Castle Gateway scheme and £12.8m on highways schemes. Specific examples of capital investment include:
 - **Good Health & Wellbeing** – additional capital investment of over £4m, including £200k to trial the use of robotics to improve the quality of social care and to support adult social services, and over £3m on a range of schemes including assistance to older & disabled people schemes, disabled facilities grants, telecare equipment, and major items of disability equipment to support vulnerable residents.
 - **A Better start for Children and Young People** – capital investment of £600k to improve school buildings across the city, focussing on accessibility, and make adaptations to foster carer homes in the city.

- **Safe Communities and Culture for all** – capital investment of over £2m including £1m towards city centre access measures, £314k on CCTV asset replacement, additional investment of £500k toward the York Theatre Royal improving their accessibility and the sustainability of their premises and an additional £25k will contribute to the refurbishment of the National Centre for Early Music.
 - **A Greener and Cleaner City** – capital investment of over £12m to develop new initiatives to reduce carbon emissions, including over £6m to procure greener waste vehicles, £3m for the development of the Northern Forest, and £250k to fit carbon reduction technology on Council assets.
 - **Getting around sustainably** - capital investment of nearly £22m including over £20m for a range of highways schemes including repairs and improvement to the highways network, the creation of a reactive pothole repair team, investment in gully repair and drainage works and further investment in the Bar Walls, £230k to look at options for traffic control/ reduction and public realm improvements in the Bishophill/ Micklegate area, and £635k to install new electric vehicle charging stations around the city.
 - **Creating homes and world class infrastructure** – capital investment of over £10m, including over £7 million to increase the scale of modernisation works to Council housing stock and £2.682m to progress the Castle Gateway scheme.
 - **An Open and effective Council** - capital investment of over £4.3m to support the Council's digital programme and support change in a range of front line services.
 - **Well Paid Jobs and an Inclusive economy** – capital investment of £260k in 29 Castlegate to repair and restore a Council owned asset that has been vacant to allow it to be brought back into use. This will provide local businesses with the opportunity to undertake some work on the Council's behalf.
3. Further details on the schemes referred to above can be found in the body of this report.
 4. As set out within this report and the Revenue Strategy report also on this agenda, the budget reflects significant investment in a number of critical

areas, with a focus on frontline services such as highways, housing, schools, drainage and street lighting, alongside investment in developing the economy of York through major regeneration schemes. This report sets out **£55.730m of new investment over the 5 year period**, of which £5.470m is externally funded, £9.605m is met from the Housing Revenue Account, with **£40.655m** to be funded by Council borrowing.

5. It should be noted that a number of other major schemes not considered in detail within this report will be brought forward as individual reports to members, including York Central, the Guildhall, Castle Gateway, the Community Stadium, York Station frontage and York outer ring road and dualling as set out at paragraphs 111 – 123.

Recommendations

6. The Executive is requested to recommend that Council:
 - Agree to the revised capital programme of **£559.617m** that reflects a net overall increase of **£55.730m** (as set out in paragraph 89 table 12 and in Annex A). Key elements of this include:
 - New schemes funded by prudential borrowing totalling £14.645m as set out in tables 3 and summarised in table 12
 - New schemes funded by a combination of both prudential borrowing and external funds of £695k as set out in table 4 and summarised in table 12;
 - Extension of prudential borrowing funded Rolling Programme schemes totalling £12.485m as set out in table 5 and summarised in table 12;
 - Extension of externally funded Rolling Programme schemes totalling £5.300m as set out in table 6 and summarised in table 12;
 - An increase in HRA funded schemes totalling £9.605m funded from a combination HRA balances/Right to Buy receipts as set out in table 7 and summarised in table 12
 - Note the total increase in Council borrowing as a result of new schemes being recommended for approval is £40.655m the details of which are considered within this report and the financial strategy report

- Approve the full restated programme as summarised in Annex B totalling **£559.617m** covering financial years 2020/21 to 2024/25 as set out in table 13 and Annex B

Background

7. The current 2019/20 – 2023/24 capital programme was approved by Council on 28 February 2019. Since then a number of amendments have taken place as reported to the Executive up to and including the 2019/20 Capital Monitor 3 report also on this agenda. The changes made as a result of the above reports have resulted in a current approved capital programme for 2019/20 – 2023/24 of £625.343m, financed by £263.103m of external funding and Council controlled resources of £362.240m. Table 1 illustrates the current approved capital programme profile from 2019/20 – 2023/24 as of capital monitor 3 2019/20.

	19/20	20/21	21/22	22/23	23/24	Total
	£m	£m	£m	£m	£m	£m
Gross Capital Programme	121.456	139.815	173.759	128.560	61.753	625.343
Funded by:						
External Funding	37.836	38.663	96.667	67.601	22.336	263.103
Council Controlled Resources	83.620	101.152	77.092	60.959	39.417	362.240
Total Funding	121.456	139.815	173.759	128.560	61.753	625.343

Table 1 – Funding Position of approved 2019/20 – 2023/24 Capital programme

8. The majority of external funding is comprised of Government Grants, including those from the Department for Transport and Department for Education and Skills. Council controlled resources comprise of Housing Revenue Account (HRA) funds, prudential borrowing and capital receipts. There are some specific earmarked receipts that are critical, in particular in relation to the older persons programme. Additionally Members decided to ultimately finance the purchase of property at Hospital Fields Road and Swinegate from capital receipts. Therefore all future capital receipts are

assumed to be used for this purpose in the medium term. This strategy will deliver significant revenue savings, and reduce the need for service savings within the revenue budget.

Options

9. This report sets out the new capital investment proposals for the 5 year period covering 2020/21 to 2024/25. Members can choose to approve or reject the recommendations made to Council as a result of the amendments contained in this report. It should be noted that it is a statutory requirement for the council to set a capital budget for the forthcoming year (2020/21) per Local Government Act 2003 (revised).

Summary of Proposed Capital Investment

10. The capital budget process invited proposals from the departments asking to submit requests for the Councils main capital priorities. Of the 41 proposals going forward 34 are asking for direct council funding, this is comprised of 15 proposals for extensions to existing schemes and 19 proposals for new schemes.
11. In total, proposals have been made that would increase the existing 2020/21 – 24/25 Capital Programme by **£55.730m**. The proposals are comprised as follows:
 - General Fund schemes requiring financing by Council borrowing **£40.655m**
 - General Fund Schemes financed by external funds **£5.470m**
 - Housing Revenue Account schemes financed by HRA funds **£9.605m**

Key scheme proposals

12. The table below summarises the key proposals that result in an increase to the capital programme of **£55.730m** split by type. Further details of the individual schemes can be found later in the report at the following references:

Type	Total Value	Further Details
	£000	
New Schemes – Prudentially Borrowed	14,645	Table 3
New Schemes – Match Funded (Element of both External & CYC funding)	695	Table 4
Rolling Programme – Prudentially Borrowed	25,485	Table 5
Rolling Programme – Externally Funded	5,300	Table 6
Housing Revenue Account (HRA) Schemes – Funded by HRA resources	9,605	Table 7
Total Increase in Capital Programme	55,730	

Table 2 – Summary of New Proposals and Increase in Capital Programme

13. Overall this report proposes new capital schemes totalling **£55.730m** which result in a net increase to the Capital Programme of the same amount. Details of all schemes can be seen in the accompanying annex that sets out the purpose of each scheme and is summarised on table 12.

Detailed Consideration of Proposed Investment, and Financial Implications

New Schemes – Prudential Borrowing

14. As part of this year’s capital budget process a number of proposals have been received that require discretionary prudential borrowing. These are set out in **table 3** below.

Scheme Type / Description	Total Value	Financial Year
	£000	
Proof of concept for robotics & Artificial Intelligence (AI) within Social Care	200	20/21 – 21/22
Options for Traffic Control/ Reduction and Public Realm improvements in Bishophill/ Micklegate area	230	20/21
Adaptions to Foster Carer Homes	100	20/21
Improving School Accessibility	500	20/21
Registry Office Phase 2 Refurbishment	80	20/21
Waste Vehicle Replacement	6,600	20/21 – 23/24
EV Charging Asset Replacement	635	20/21
A19 Flood Alleviation Enhancement	48	20/21
Flood Sign Renewal and Rainfall Monitoring	200	20/21

Northern Forest	3,000	20/21 – 23/24
Castle Gateway	2,682	20/21
29 Castlegate	270	20/21
Hazel Court Welfare Facilities	100	20/21
New Proposals Requiring Prudential Borrowing	14,645	

Table 3 – Summary of New Proposals Requiring Prudential Borrowing Funding

15. An overview of each new scheme being proposed is set out in the following paragraphs:
16. **Proof of Concept for Robotics & Artificial Intelligence (AI) within Social Care (Total £200k - £100k each year 20/21 - 21/22)** – Robotics has been suggested as a way to help improve the quality of social care in the UK and to manage increasing pressures on services. Robotics is a broad field covering different aspects of the use of robots that can operate with varying levels of autonomy and machine learning technologies. Using robotics could reduce social care costs by enabling residents to stay in their homes longer, preventing hospitalisation through falls and reducing staffing costs by automating a greater number of tasks.
17. This bid proposes an 18-month proof of concept for a select number of robotics within adult social care. A number of Internet of Things (IoT) trials are happening across the council, and York has the opportunity to become a leading provider in this area with sensors and robotics within adult social care.

18. This is subject to a further report being brought back to the relevant Executive Member later in the year setting out the detailed proposals of the scheme
19. **Options for Traffic Control/ Reduction and Public Realm Improvements in Bishophill/ Mickelgate area (£230k in 20/21) -** Feasibility work has already been undertaken to determine the best solution for this location. Options considered include installing a like-for-like replacement of rising bollards; removal of restrictions and improvements to public realm; and a partial closure with varied access restrictions. The final solution will be subject to a decision by the Executive Member for Transport. These funds will cover the capital costs of undertaking the civil construction, technology installation, archaeological related tasks, as well as the project management costs associated with implementation. If the chosen solution includes a technology element (rising bollards) there will be a requirement to procure a maintenance contract to ensure continued operation for the life of the asset.
20. **Adaptions to Foster Carer Homes (£100k 20/21) –** This scheme relates to “connected carers” where relatives take on care for a child within their extended family. This funding will allow any necessary adaptations to be made to a home to enable a child or young person to be accommodated with family members instead of being placed in a foster or other care home.
21. **Improving School Accessibility (£500k 20/21) –** This scheme is designed to address accessibility issues in York maintained schools that are operating in historic buildings and are unable to meet their responsibilities under the Equality Act, 2010. If left unaddressed the local authority is likely to be subject to discrimination claims from the families of children with special educational needs and/or disabilities who may be unable to access the school of their choice
22. This is subject to a further report being brought back to Executive later in the year setting out the detailed proposals of the scheme
23. **Register Office Phase 2 refurbishment (£80k 20/21) –** Phase 1 of the refurbishment was completed in March 2018 but has left some areas unmodernised. Changes were made to make better use of the space to

accommodate staff and to improve facilities for our customers who use the Register Office for ceremonies and to register life events.

24. The larger of our ceremony rooms was unchanged and is now looking tired compared to the refurbished rooms. The toilet facilities have not been updated, do not reflect the high standard expected from a respected ceremony venue and have prompted several negative comments. A number of repairs have had to be carried out leaving the facilities temporarily unavailable on occasion. In addition, the facilities in the interview rooms where families attend to register births, deaths and give notice of marriage were only partially updated.
25. The repository, for which the service has a statutory duty to store records safely and securely, holds civil registers dating back to 1837. These records need to be accessed daily in order to produce copy certificates of births, deaths and Marriages. The repository is running out of space and the shelving is starting to collapse in places. Changes to marriage legislation which will be introduced at the end of 2019 mean that registers from all York churches and chapels will need to be collected and stored in the same repository. This will increase the problem but could be solved with a new, built for purpose racking system, making optimum use of the available space.
26. **Waste Vehicle Replacement (£6.600m 20/21)** – The purpose of this scheme is to highlight the need for renewal of the refuse collection vehicle within the waste service ensuring the service has a sustainable fleet that maximises value for money and remains environmentally and energy efficient.
27. It is proposed that at least three of the replacement vehicles purchased are electric powered. The technology for electric vehicles in refuse collection is still in its infancy and therefore there is too great a risk in upgrading the whole fleet to electric. The performance of the electric vehicles will be monitored carefully in advance of future purchases. The investment includes upgrade to facilities at Hazel Court to provide charging point infrastructure for the vehicles.
28. This is subject to a further report being brought back to Executive later in the year setting out the detailed proposals of the scheme

29. **Electric Vehicle Charging Asset Replacement (£635k 20/21)** – The Council's electric vehicle charging asset is life-expired and has therefore become unreliable and difficult to maintain. This scheme involves replacing life-expired charging points with new installations at the same location. It is proposed to replace the existing electric vehicles charging points at the following locations:
- Askham Bar Park and Ride
 - Bootham Row Car Park
 - Grimston Bar Park and Ride
 - Monks Cross Park and Ride
 - Nunnery Lane Car Park
 - Poppleton Bar Park and Ride
 - Rawcliffe Bar Park and Ride
 - York Designer Outlet
30. A total of 17 charging points will be replaced at these 8 locations. The new charging points will not only be more reliable and maintainable, but they will feature modern connectivity options, increasing the availability of the charging points to more users. £235k is the sum required to replace these assets, including installation of technology, updating back office systems, refreshing appropriate signage, project management costs, and other associated costs.
31. In addition to the replacement of life expired assets, an amount of £150k is sought to enable the expansion of charging provision at Grimston Bar Park and Ride site. This sum would cover the infrastructure required to power new charging points at this location.
32. A further £250k is sought to expand charging provision at locations yet to be identified through the upcoming 'Electric Vehicle Charging Strategy'. This sum would enable early implementation of charging points in key areas to support the delivery of this strategy in its first year. It is envisaged that further funding will be sought from a variety of sources to support the upcoming strategy beyond 2020/21. Assigning this funding enables an immediate start to achieving the goals of this strategy in 2020/21.
33. This is subject to a further report being brought back to the relevant Executive Member later in the year setting out the detailed proposals of the scheme

34. **A19 Flood Alleviation Enhancement (£48k 20/21)** – It is proposed to purchase a dedicated pump to manage surface water flooding issues on the A19 to complement the flood alleviation works delivered in the area. The Council has worked closely with developers to develop flood alleviation works on the A19 at Germany Beck Fulford. The works have been delivered by the developer through the arrangement of retaining walls and highway features developed during the works to construct the access road into the housing development
35. **Flood Sign Renewal and Rainfall Monitoring (£200k 20/21)** –The council have statutory responsibilities under the Flood and Water Management Act 2010 as a Lead Local Flood Authority and the Civil Contingencies Act 2004 in preparing for, responding to, recovering from, and investigating flooding incidents. The 2015 independent York Flood Inquiry and the section 19 investigation for the August 2018 surface water incident emphasised the need for improving how the Council investigates flooding incidents, responds to and warns and informs the public about flooding
36. With the frequency of storm events and higher river levels likely to become more frequent due to climate change, the funding would support Council officers in Highways, Flood Risk Management, and Emergency Planning to fulfil statutory duties. The capital bid would be used to procure:
- a selection of electronic flood signs and refresh existing permanent signs along the River Ouse
 - a technological product to support monitoring, responding to, and investigating rainfall incidents e.g. a rain gauge network or radar based web browser system
37. This would allow the Council to be able to more effectively deliver statutory responsibilities by:
- providing a more visually stimulating network of flood signs to warn the risk of river flooding to residents and road users within the city centre and villages
 - decreasing the amount of time spent by operational staff deploying flood signs and the likelihood of health and safety related injuries
 - utilising real time rainfall flood forecasting to understand surface water impacts to residents, businesses, and transport and cycle routes

- a potentially increased ability to prioritise where and when to respond to surface water incidents
- being able to more effectively investigate surface water incidents
- assessing climate change impacts to York through recording monthly rainfall totals within the Council's boundary

38. This is subject to a further report being brought back to Executive later in the year setting out the detailed proposals of the scheme

39. **Northern Forest (Total £3,000k - £600k each year 20/21 – 24/25)** – This bid is to enable purchase or utilise pieces of land, already in the council's ownership, that are suitable for planting trees. The budget would cover the cost of planting new trees and replacing diseased trees as the council's contribution to the White Rose Forest initiative. The White Rose Forest has 3 aspirations:

- Leadership for sustainable economic development,
- Social wellbeing
- Facing Climate change.

40. A York tree planting programme has the opportunity to contribute to all three Aspirations through large scale planting, investment in new street trees and responding to the changing environment e.g. Ash dieback.

41. **Castle Gateway (£2,682k in 20/21)** - The Castle Gateway masterplan will transform a large area of the city centre that has endured three decades of failed private sector proposals. Approved by the council's Executive in April 2018, the regeneration will create new high quality public realm and event spaces, significantly improve the setting of our heritage assets, improve cycle and pedestrian routes throughout the area, and reduce and consolidate car parking in to a modern multi-storey car park (MSCP) outside of the inner-ring road. A report considered by Executive in January 2020 recommended investment to fund the next stage of design at both the multi story car park and Castle Mills housing scheme and associated procurement. The financial implications from that Executive decision are incorporated within this report.

42. **Early Years Support Schemes (£30k in 20/21)** - The purpose of this scheme is to support infrastructure and equipment purchase in early years settings.
43. **29 Castlegate (£270k in 20/21)** - The project to renovate 29 Castlegate will restore a substantial council owned period property that has been vacant and is in need of repair to make use of such. The condition of the building both internally and externally is deteriorating whilst unoccupied. The premises is located adjacent to the Coppergate Centre in the city centre, close to occupiers such as Topshop and Fenwicks, as well as tourist attractions including Clifford's Tower.
44. Given the scale of investment, this will be subject to a further report to Executive or relevant Executive Member setting out the proposals, including consideration of the benefits and disadvantages of different uses of the building, including commercially and/ or for the community.
45. Utilising the property fully will serve to enhance the surrounding streetscape, bringing new business into the property/ area. The council's construction and design team estimate to carry out a refurbishment of the property to bring it to a lettable condition which would provide alterations to the office space to provide an optimal layout in the market would cost £170k, whilst a further £100k may be needed for structural works, although further investigation and monitoring needs to be undertaken. They also estimate that to bring the property into basic use a budget of £120k would be needed and again a further £100k, should be allowed for structural repairs.
46. **Hazel Court Welfare Facilities (£100k in 20/21)** – This bid is in response to staff feedback around existing welfare facilities at Hazel Court and provides a long term solution, where previous work has not resolved the issue. The scheme will reconfigure existing internal accommodation to improve the staff welfare facilities within the Amenity Block by the provision of additional showers, drying and changing space for both frontline and office based staff. This will align the facilities available to staff at Hazel Court more closely to those based at West offices. This will improve wellbeing by encouraging staff to use sustainable travel options in all seasons.

New Schemes – Match Funded

47. As part of this year’s capital budget process a number of proposals which would be funded both externally and with a Council contribution. These are set out in **table 4** below.

Scheme Type / Description	Total External element	Total CYC element	Financial Year
	£000	£000	
York Theatre Royal	-	500	20/21
National Centre for Early Music	170	25	20/21
Total New Match Funded Proposals	170	525	

Table 4 – Summary of new proposals funded by both external and CYC funding

48. **York Theatre Royal (£500k CYC funded)** – In 2016 the Council wrapped up its funding for the following 4 year period in a capital grant. The Theatre used this as a contribution to its refurbishment scheme and, in particular, to develop new revenue schemes. This has been very successful and facilitated a reduced requirement for support over the next 4 year period. This capital grant will build on the previous support, increasing the theatre’s accessibility, environmental sustainability and financial resilience, improving the theatre's long-term sustainability. An update report will be brought to the relevant Executive Member in March.
49. **National Centre for Early Music (£195k total 20/21, £170k externally funded, £25k CYC funded)** – This bid is to support the National Centre for Early Music (NCEM) in taking forward a refurbishment of this important cultural venue. It will provide match funding that will lever in more substantial funding from the Arts Council England and other sources. The refurbishment will address the sustainability of the centre, reducing energy consumption, as well as updating IT systems in order to provide more effective controls for heating and lighting. The refurbishment will

also provide improved access for disabled people. Finally, it will provide improved customer facilities, including upgraded catering / hospitality facilities, so that NCEM can continue to increase commercial income and thereby improve its financial sustainability

Rolling Programme Schemes – Prudential Borrowing

50. The 2019/20 – 2023/24 capital programme contained a number of rolling programme schemes that require funding on an ongoing basis. This report extends the rolling capital programme to 2024/25 and also proposals have been received to increase the level of currently approved rolling programme schemes by adding additional years across a number of financial years, these schemes are set out in the table below:

Scheme Type / Description	Total Value	Financial Year
	£000	
Fleet Equipment & Compliance – Hazel Court Weighbridge	50	20/21
Fleet Equipment & Compliance – Vehicle Lift	42	20/21
Highways Schemes	12,830	20/21 – 24/25
Drainage	200	24/25
Gully Repair Engineering Works	3,500	24/25
Bar Walls – Presentation, Lighting & Safety	264	20/21 – 23/24
Bar Walls – Presentation & Safety Station Ave Arch Eastbound	500	20/21 – 21/22
Replacement of Unsound Lighting Columns	888	20/21 – 24/25

CCTV Asset Replacement	314	20/21 – 21/22
Asset Maintenance	370	20/21 – 24/25
Carbon Reduction – Energy Efficiency	250	24/25
Disabled Facilities Grant	475	24/25
Disability Support Budget	260	24/25
Major Items of Disability Equipment	147	24/25
Telecare Equipment	275	24/25
ICT Rolling Programme	3,670	20/21 – 24/25
Project Support Fund	200	24/25
Contingency	250	20/21
City Centre Access Measures	1,000	20/21
Total Rolling Programme Schemes	25,485	

Table 5 – Summary of Rolling Programme Proposals Requiring Prudential Borrowing Funding

51. All of the £25.485m of rolling programme scheme bids will require revenue growth to fund (to support the prudential borrowing), the revenue implications are contained in the Financial Strategy 2020/21 – 2024/25 report also on this agenda.

52. An overview of each scheme being proposed is set out in the following paragraphs:
53. **Fleet Equipment & Compliance – Hazel Court Weighbridge (£50k 2020/21)** – This scheme is to provide a weighbridge which would allow drivers to weigh their vehicles when entering or leaving Hazel Court, in order to ensure that drivers can remain compliant when driving on the public highway.
54. It would also assist the workshops in the repair of vehicles in the way of calibrations which would mean faster vehicle repair times and less downtime as currently we are having to go off site to complete these calibrations.
55. There is also potential to bring in revenue to the council as this can be offered as a service to other organisations
56. **Fleet Equipment & Compliance – Vehicle lift (£42k 2020/21)** – This scheme is for the supply of an additional fixed asset vehicle lift capable of lifting laden refuse vehicle, this would double existing capacity in the workshops to allow for busy periods where multiple HGV MOT preparations are ongoing at the same time, as well as routine 6/7 week inspections. This would also serve to assist business continuity in the event of mechanical equipment breakdowns.
57. It would also enhance the mechanics capabilities to inspect the vehicles when prepping them for an MOT and create the same environment that a DVSA tester would do when testing the vehicles giving us the best possible chance to gain a first time pass. It would also create a more robust environment for vehicles to be safety inspected which again is a statutory obligation as promised to the Traffic Commissioner as part of being a large goods operator. Finally, vehicle downtime would reduce as mechanics would not have to share or wait for vehicle lifts in busy periods
58. **Highways Schemes (Total £12.830m, 2020/21-24/25)** – The purpose of this budget is to deliver a range of additional highway schemes including carriageway, footway and traffic signal renewal targeted to deliver wholesale repair and renewal of areas of the network to prevent further deterioration in condition. The scheme will present an opportunity to address highway maintenance needs in a planned and proactive way and

address the current bias towards reactive response and repair, this updates and addresses the network maintenance requirements evidenced by the TAMP lifecycle analysis. With this investment the total investment for highways for the next 5 years equates to £25,780k.

59. As part of the additional investment it is proposed to employ a further Reactive Pothole Team at a cost of c £275k to increase the number of potholes that can be repaired. This is subject to a further report being brought back to the Executive Member later in the year setting out the detailed proposals of this scheme
60. **Drainage (£200k 2024/25)** – To continue funding the restoration of the Council’s drainage infrastructure supporting the findings of the Surface Water Management Plan. This funding reflects the amount of work that can realistically be done using the available resources within the Council where there is extensive local knowledge
61. **Gully Repair Engineering Works (Total £3.500m, 2020/21–24/25)** – A rolling programme of capital funded drainage survey and investigation works has led to significant improvements of the cities highway gullies and has provided detailed information to identify the condition of the assets and their long term maintenance needs.
62. It is calculated that more than £8m of investment will be needed over 12 years to address all defective assets on a priority/needs based approach, and the funding included is based on two additional works gangs and materials
63. **Bar Walls – Presentation, Lighting & Safety (Total £264k, 2020/21-23/24)** - This bid would fund a scheme to improve the presentation and security of the City Walls and engage wall users in the conservation needs of the nationally-significant ancient monument. The scheme will restore the system of locks on the City Walls, trial systems of pest management in the Bars and restore the historic decorative schemes of the armorials and heraldry at the Bars.
64. In addition, this scheme will deliver a visual language and tone of voice, designed in the spirit of the Council and the City Walls, to act as a catalyst for rationalising existing signage and to engage wall users (and potential wall users) with the City Walls and the work the Council does to care for them and open them to the public.

65. A plan has been developed to upgrade the existing Bar Walls floodlighting system to a sustainable and sympathetic, LED colour changing system. The proposed floodlighting system is bespoke and will be designed to complement to architectural and historic significances of the walls. The colour-changing nature of the system means that the Bar Walls could be lit in particular colours to celebrate or commemorate historic events or important days.
66. This is subject to a further report being brought back to Executive later in the year setting out the detailed proposals of the scheme
67. **Bar Walls – Presentation & Station Avenue Arch Eastbound (Total £500k, 2020/21–21/22)** – In Year 1 this bid would fund investigation works to understand the deterioration of stonework at Station Avenue Arch (road arch eastbound) and to develop and deliver a programme of repairs and restoration. There is potential for the steelwork built within the arch to be corroding, causing an unsafe structure. There is no current allocation within the Bar Walls budget to deliver this project.
68. In Year 2, an estimated bid for funds has been made based on the following assumptions; to be confirmed following the Year 1 investigation works: The steel can remain in situ and does not require wholesale replacement. Ad hoc stone removal/replacement, to allow for the replacement stone to be correctly bonded to the structure; taking up of the stone flags across the bridge and applying a waterproofing solution to the steelwork to prevent any further corrosion. Works may also include (subject to further investigation), a form of cathodic protection system to protect the existing steel.
69. This is subject to a further report being brought back to Executive later in the year setting out the detailed proposals of the scheme
70. **Replacement of Unsound Lighting Columns (Total £888k, 2020/21 – 24/25)** – Street lighting columns all have manufacturer’s recommended serviceable life in years at date of manufacture both concrete and steel.
71. City of York council have approx 19,000 street lights of various heights and construction of which approx 14,000 are steel and 1700 concrete. The majority of the steel are age expired and all concrete are expired.

Some of the steel have previously been repaired, which makes them unable to be structurally tested at points of the column which are prone to deteriorate (i.e underground). Steel sign posts as per street lighting columns all have manufacturers recommended serviceable life in years at date of manufacture.

72. The scheme is to continue to reduce energy costs, improve the carbon footprint and upgrade the older technology lighting to achieve energy savings on an annual basis through installation of new steel columns with a 35 year life expectancy and fitted with an energy efficient LED lantern
73. **CCTV Asset Replacement (Total £314k, 2020/21–21/22)** – This scheme is for the replacement of life-expired CCTV assets, such that the public space surveillance operation can continue to function effectively.
74. Although a comprehensive maintenance contract is in place, all assets still have a limited life span and require replacing at their end of life. Such assets become unmaintainable and fail to operate. Without functioning CCTV systems, the Council cannot effectively deliver services to its residents and customers, including North Yorkshire Police.
75. 57 CCTV assets have been identified as being life-expired and in need of imminent replacement. This scheme involves a 3 year programme to replace these assets with a modern cost-effective solution. This funding will allow for their replacement in locations where the asset is still deemed to be required
76. **Asset Maintenance (Total £370k, 2020/21–24/25)** – There is currently a rolling programme capital scheme for health and safety repairs of £220k per annum with 4 years left to run. The previous year's allocations have been spent and the Council has a number of properties which have been identified as being retained for service delivery and/or delivering Council objectives, either directly or indirectly, through the Corporate and Community Asset Strategies and also individual service reviews.
77. A significant number of these properties have urgent health and safety repairs which will need to be carried out in the coming years to make them sustainable for future service delivery. The budget will also be used to cover the Council's responsibility for closed churchyards.

78. There will also need to be substantial other work to a proportion of these properties to refurbish or improve them to meet the service requirements but these works will be the subject of separate capital schemes as and when necessary. This scheme therefore is to increase the approved annual rolling programme by £30k and to extend it for a further year (£250k) to help fund these critical repairs to the retained buildings
79. **Carbon Reduction Energy Efficiency (£250k 2024/25)** – This scheme is intended to complement other new or existing capital schemes in order to enable those schemes to deliver higher environmental benefits than may otherwise be the case. These schemes could include building of new homes, carbon reductions schemes benefitting existing property assets or specific projects such as Castle Gateway.
80. **Disabled Facilities Grant (£475k 2024/25)** – This bid is to continue to allow the payment of mandatory disabled facilities grants (DFGs) in line with statutory requirements (The Housing Grants, Regeneration and Construction Act 1996) and the Councils policies last reviewed in July 2017. The DFG rolling programme enables older and disabled persons to remain safely in their own home and maximise their independence. The funding also ensures the delivery of the falls prevention service aimed at providing early intervention in the residents home looking to reduce the risk of falling by assessing the home, the environment and the person. Both programmes directly contribute towards the Better Care Fund objectives by preventing, reducing and delaying the need for NHS and Adult Social Care Services.
81. **Disability Support budget (£260k 2024/25)** – This extension request is to continue to provide assistance for disabled vulnerable customers who need financial help. The help comes through the form of grants and top up loans to help disabled vulnerable adults and parents with disabled children to provide cost effective adaptations in their homes to ensure that they continue to live and maintain their independence. The help covers the shortfall between the cost of the eligible work and the mandatory disabled facilities grant, or to purchase a more suitable home where it is more cost effective than to adapt the current home and the relocation expenses. Given the increasing number of older people and the increasing life expectancy of children with complex needs there is a need to increase funding year on year.

82. The funding directly contributes the priorities of the Better Care Fund and the Council by preventing, reducing and delaying the need for NHS and Adult Social Care Services.
83. **Major Items of Disability Equipment (£260k 2024/25)** – This bid is to extend the current scheme whereby equipment is provided to vulnerable individuals which enables them to remain in their own homes and live in the community. Timely supply of equipment is an essential component of prevention and enabling people to continue to live safely in their own homes. It also contributes to successful community reablement and is a key component of hospital discharge packages. The Audit Commission report “Fully Equipped 2002: Assisting Independence” evidences that saving in ongoing care of £30k was made on a £14k investment in equipment, approximately a 2:1 return.
84. Keeping people at home, in their communities is crucial for the individual's long term wellbeing but also the financial sustainability of the authority as long term formal care is significantly more expensive than the one off investment in equipment
85. **Telecare equipment (£275k 2024/25)** – This scheme is to extend the current scheme funding Telecare equipment into 23/24. Keeping people at home, in their communities is crucial for the individual's long-term wellbeing but also the financial sustainability of the authority as long term formal care is significantly more expensive than the one off investment in equipment.
86. Under this scheme sensors will continue to be installed in vulnerable customers' homes to deal with specific assessed risks. The sensors will be linked to our Community Alarm system and trigger alerts automatically given a programmed set of circumstances, ensuring speedy response from the warden service. These pieces of equipment provide customers with peace of mind and 24 hour monitoring of their circumstances. In some cases, the provision of telecare equipment can prevent a move to residential care and can provide monitoring so that smaller care packages can be commissioned. Telecare allows more people to be looked after at a lower cost and several studies have shown its cost effectiveness.
87. **ICT Rolling Programme (Total £3.670m, 2020/21-24/25)** – Since the establishment of the ICT 5 year development plan, the demands for digital services across the Council's frontline services has increased

significantly. In addition the general cost of digital services, applications and ICT infrastructure has increased and so this bid reflects a review of these increased costs over the next 5 years. All of this is in line with the planned ICT schemes over the next 5 years. The increase in the capital bids from years 2021/22 onwards by £350k reflects this increased demand. The final year in the bid 2024/25 is the next year in the ICT rolling programme and is in line with previous years.

88. This bid also includes £100k per annum in year 1 & 2 to fund improvements to records management
89. **City Centre Access Measures (£1.000m 2024/25)** – There is a report elsewhere on the Agenda “City Centre Access – Phase 1 Proposals (Update)” which highlights the need for additional investment of £1,000k to install static and sliding bollards within the City Centre and in the adopted highway at the racecourse to minimise the likelihood of a hostile vehicle attack on the city.
90. **Project Support Fund (£200k 2024/25)** – To extend the existing funding into 2024/25 to allow the continuation of the capacity to provide professional advice (primarily legal but also including finance and asset management) to support the Capital programme, and in particular major complex regeneration/building schemes including York Central, Castle Gateway, York Outer ring road and the Older Persons Accommodation Programme to support the successful delivery of bringing new assets into operation.
91. **Capital Contingency (£250k 2020/21)** – The continuation of a prudent single year capital contingency to address any unexpected capital pressures in year. The current balance remaining in the capital contingency fund for 2019/20 as detailed in the Capital Monitor 3 report also on this Agenda is £552k.

Rolling programme - Externally Funded + HRA Funded

92. In addition to part funded rolling programme schemes, Schemes that are fully externally funded are proposed as part of this budget process. This table shows the level of external funding that is increasing existing schemes and which year the change takes effect in:

Scheme Type / Description	External Funding Value	Financial Year
	£000	
<u>Existing General Fund Schemes</u> <u>New External Funding</u>		
Highways Resurfacing & Reconstruction	1,830	24/25
Local Transport Plan - Integrated Transport	1,570	24/25
Disabled Facilities Grant	1,900	24/25
<u>Total Existing General Fund Schemes</u> <u>New External Funding</u>	5,300	

Table 6 – Summary of New External Funding

93. The funding for the schemes set out above have been updated to reflect the indicative funding settlements in 2024/25.
94. The latest **Housing Revenue Account (HRA)** Business plan 2019 to 2049 report contains an overview of the HRA Business plan for the next 30 years and provides detail of the key priorities for the next five years, including the use of the investment fund to support the delivery of more affordable new homes.
95. Table 7 updates the capital investment strategy and shows the overall movement (growth) against the existing approved expenditure plans.

Scheme Type / Description	Total Scheme Value	HRA/ Reserve Funding Value	Financial Year
	£000	£000	
<u>New Investment for the HRA</u>			
Major Repairs & Modernisation of Local Authority Homes	7,541	7,541	24/25
Private Water Supply	(336)	(336)	20/21 – 24/25
Assistance to Older and Disabled People	1,230	1,230	20/21 - 24/25
Housing Environmental Improvement Programme	170	170	24/25
Improved Insulation in Local Authority Homes	1,000	1,000	20/21 – 23/24
<u>New Investment for the HRA Total</u>	9,605	9,605	

Table 7 – Summary of HRA investment

96. The key investment areas are shown in table 8 and show new investment of £9.605m that will see key schemes delivered including:
97. **Major Repairs & Modernisation of Local Authority Homes (£7.541m growth in 2024/25)** - The focus of this area of investment is about ensuring the integrity external fabric of dwellings is maintained in terms of weather resistance, coupled with modernising key internal components of kitchens, bathrooms, heating and electrical wiring. This benefits tenants in a number of way (eg: having modern facilities, improved safety and security, reduced energy costs etc) whilst ensuring the Council meets the Decent Homes standard. A breakdown of the type and value of works for 2020/21 is provided below.

	2020/21 budget £'000	Description of works 2020/21
Tenants Choice	3,041	Replacement kitchen, bathroom and rewire to 238 properties.
Modernisation of Void properties	1,604	Major repairs and modernisation of 90 void properties
Heating/Boilers	946	Replacement heating systems to 443 domestic properties per year.
Roof Replacements	362	Replacement roofs and removal of asbestos-containing fascias / soffits to 84 properties
External Doors	296	Replacement of 442 external & lobby doors
Window Replacements	349	Replacement of windows in 124 properties
Standing Water Project	1,200	Structural damp remediation works to c60 properties, with a further 240 properties requiring treatment over the life of the 5 year proposal
Structural and external works	181	Various programmes of repair to the external structure of dwellings, guttering & down pipes, soffits & fascias to 82 properties
Fire Remedials	544	Remedial works arising from Fire Risk Assessments – provision for work on c88 properties
Asbestos Removal	165	Remedial works following asbestos surveys
Bell Farm Bathroom Ext	820	Major works to existing defective pre-fabricated bathrooms that were added on in the 1970's. Several options being considered including a demolish/rebuild of the bathroom.
Other	980	Various projects including one- off kitchen and bathroom refurbishments, soundproofing, upgrading communal corridor lighting and entry door access, remedial work following electrical testing programme, security upgrades, electric storage heater replacements.

**Table 8 – Breakdown of Major Repairs & Modernisation of LA Homes
2020/21 Budget**

98. The intention of these projects are to ensure the Council continues to upgrade the overall standard of the housing stock and in doing so meet/exceed customer expectations whilst ensuring legislative requirements are satisfied
99. **Improved Energy Efficiency in Local Authority Homes (£1,000k 2021/22 - 23/24)** – Ongoing work to support the ‘Energy Accelerator Programme’ to maximise energy efficiency performance in Local Authority homes.
100. **Assistance to Older and Disabled People (£1,230k 20/21 – 24/25)** – This will see continued investment in undertaking adaptations to properties following needs assessment of tenants and their dependants who have some sort of disability, ensuring they can remain in their property and lead as near normal life as possible.
101. **Housing Environmental Improvement Programme (£170k 2024/25) -** The Housing Environment Improvement Programme gives the opportunity to link with other funding streams to deliver schemes which have an impact for all residents and realise economies of scale. Improvements include providing solutions for car parking, secure external storage facilities for tenants of flats, improving security by providing fencing and /or gates with keypads, improving external environments through landscaping. Tenants can suggest improvements through their Ward Councillor, Residents Association, Housing Management Officer or Community Involvement Officer, all suggestions are discussed and agreed through the Ward Team.
102. **ICT Infrastructure (No budget change – Total £450k 2020/21)** The ICT infrastructure improvements programme totals £2m over the period 2017/18 to 2021/22. A major review of the current systems has been undertaken and the current Housing systems is to be replaced by an integrated Housing and Building Services management system which incorporates housing management, asset management and building repairs.
103. The Housing ICT Programme is now fully underway with implementation, the new system has started to be configured to the way that the Council wants it to work. Work is nearly complete on the business change and empowerment action plan to support the ICT changes.

104. **Local Authority Homes – New Build Project Phase 2 (No budget change - £121.819m 2020/21- 24/25)** In January 2018 Executive agreed to a New Home Building Programme on council owned sites totalling £153.9m. Work is progressing well, a construction contract has been let with Wates to develop the new homes, open space and infrastructure at Lowfield Green. Work is underway and the first homes will be complete and occupied later this year. The development is anticipated to take 2 years to complete.
105. Public engagement and design work is progressing at the next three sites to be brought forward from the Housing Delivery Programme. These are at Burnholme, Duncombe Barracks, and Ordnance Lane/ Hospital Fields Road. It is anticipated that planning applications will be submitted on these three sites this summer. An agreed budget to develop these sites will be sought from the existing allocated new house building budget within the HRA. Construction will start on these sites in 2021.
106. There are 8 sites currently within the Housing Delivery Programme, the others being Clifton Without School, the former Askham Bar Park and Ride site, the former Manor School site and the former Woolnough House site. These are regularly reviewed to ensure they are the right sites to achieve our housing objectives and whether there are opportunities for bringing new sites into the Programme

Funding Position – Council Prudential Borrowing

107. The budget proposals in terms of Council prudential borrowing adds to existing rolling programme schemes totalling £25.485m (of which £25.485m impacts Council Tax), and adds new schemes totalling £15.170m (of which £15.170m, impacts on Council Tax). This results in a total increase in the level of debt (the underlying need to borrow for capital investment purposes only) of £40.655 over the 5 year programme. Table 9 shows the financial impact on a year by year basis and over the 5 year period

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Rolling Programme	4.111	2.967	2.392	5.602	10.413	25.485
New Schemes	12.670	0.700	0.600	0.600	0.600	15.170
Net Increase in PB	16.781	3.667	2.992	6.202	11.013	40.655

Table 11 – Net Funding Position of Prudential Borrowing Schemes

108. The revenue costs of the new schemes funded by prudential borrowing is estimated at £2.836m over the 5 year budget period, assuming borrowing of £40.655m. Actual borrowing may be taken during 2020/21, although the costs are not incurred in revenue until the year following any capital expenditure. The Financial strategy report elsewhere on the agenda includes the revenue growth for previous year's capital investment.

Summary of Analysis

109. This table summarises the additions and amendments made as part of this report (outside of re-profiling) split by rolling programme schemes and new schemes (by funding type) showing an overall increase in the capital programme of **£56.230m** of which £24.654m relates to the extension of the programme to 2024/25..

	20/21 £m	21/22 £m	22/23 £m	23/24 £m	24/25 £m	Total £m
1) New CYC Schemes Funded by CYC Pru Brw (table 3)	12.145	0.700	0.600	0.600	0.600	14.645
2) New Match funded CYC Schemes Part funded by CYC part funded by External sources (table 4)	0.695	-	-	-	-	0.695
4) Rolling Programme Schemes Funded by CYC Pru Brrow (table 6)	4.111	2.967	2.392	5.602	10.413	25.485
5) Existing Schemes Funded Externally by External Grant (table 7)	-	-	-	-	5.300	5.300
6) HRA Schemes (table 8) Funded by HRA	(0.296)	0.435	0.725	0.400	8.341	9.605
Total Net Increase to Capital Programme	16.655	4.102	3.717	6.602	24.654	55.730

**Table 12 – Summary of Expenditure and Funding Movements
20/21 – 24/25**

110. The outcome of the proposals outlined above if accepted are illustrated in the next table which sets out the proposed capital budget for each directorate over the next 5 years and in detail in Annex A.

Gross Capital Programme	2020/21	2021/22	2022/23	2023/24	2024/25	Total
	£m	£m	£m	£m	£m	£m
Children, Education & Communities	26.059	10.500	2.000	-	-	38.559
Health, Housing & Adult Social Care – Adult Social Care	2.190	1.496	0.638	0,660	0.682	5.666
Health, Housing & Adult Social Care – Housing & Community Safety	48.196	51.489	40.007	36.790	10.716	187.198
Economy & Place – Transport, Highways and Environment	38.000	30.692	34.792	27.185	9.536	140.205
Economy & Place – Regeneration & Asset Management	38.283	81.114	52.220	1.100	1.100	173.817
Corporate Schemes	1.487	0.250	0.200	0.200	0.200	2.337
IT development plan	2.255	2.320	2.420	2.420	2.420	11.835
Total Capital Programme	156.470	177.861	132.377	68.355	24.654	559.617

Table 13 – Proposed Capital Programme 2020/21 – 2024/25

Other Major Capital Projects

111. There are a range of other major schemes either already within the Capital programme, or which may come forward in the future, which will come through as individual reports during the year. Some of the key issues are referred to below, to give members a full picture of the scale of the Capital programme and some of the issues that will need to be considered during 2020.
112. **York Central** – The York Central project made significant progress in 2019. In February the West Yorkshire Combined Authority approved the Full Business Case for York Central Access funding (£37.3m with £23.5m for York Central delivery). In March Planning Committee resolved to grant Outline Planning Consent for the development. In July following the positive planning decision and in the absence of a HIF announcement Executive agreed a further £750k of project development with the benefit of £335k in support from Homes England. In September, following an OJEU compliant procurement, the preferred construction partner was announced for the Phase1 Infrastructure and structured Early Contractor Involvement (ECI) in design and delivery was undertaken. In October with a decision on HIF still awaited Executive committed further funding to continue project design and development into 2020 to ensure greater delivery readiness. A total of £2.275m was committed with £1.58m from the YNYER LEP. The planning decision notice was issued on signature of the s106 agreement in December.
113. A HIF announcement is now expected and with the outcome of the ECI and further Design work complete the Reserved Matters Planning application for the phase 1 infrastructure could be made in March 2020. If the full funding package is secured the phase 1 infrastructure works contract could commence in Autumn 2020. This will be the subject of approval by Executive.
114. **Guildhall** – In February 2019 Executive approved the delivery of a comprehensive scheme to refurbish and redevelop the Guildhall. A once in a generation investment to safeguard the future of this historic city asset. The £20m scheme was approved on the basis of a comprehensive re-tender exercise. Following a period of contractual clarification to further de-risk delivery Vinci Construction were formally contracted and took possession of the site in September. The project has made good

progress to date and the construction work is expected to complete in March 2021

115. **Castle Gateway** – In January 2020 Executive approved the delivery strategy for phase one of the Castle Gateway regeneration. This phase includes many of the key public benefits of the transformative masterplan, including a new footbridge and pedestrian and cycle routes; a riverside public park at the rear of the Castle Museum; new apartments and commercial spaces at Castle Mills and 17-21 Piccadilly; and a multi-storey car park at St George’s Field which will allow Castle Car Park to be replaced with new high quality public realm in phase two.
116. The council will act as developer for phase one to maximise the financial return from the new developments to pay for the wider public benefits, which will result in the lowest long term cost to the council. Whilst this approach requires short term borrowing of £46m during the construction period, this investment will be largely repaid through the sales of the new apartments and income from commercial spaces. Once complete the estimated project viability gap that the council will need to fund is £3.3m.
117. It should be noted that at this stage funding has only been allocated to engage a contractor and undertake the next stage of detailed design, and the full budget for delivery will only be committed once actual construction prices have been finalised and approved. A future report will be taken to Executive in the summer of 2020 to consider the business case for phase two of the Castle Gateway, which includes the new public realm to replace Castle Car Park.
118. **Community Stadium** – The Community Stadium Complex is now in its final on site fit out, testing and commissioning phase before opening to the public during the year.
119. The adjacent commercial development to the Stadium is also well advanced, with the IMAX Cineworld opening to the public in December 2019, and confirmed lettings for Hollywood Bowl, Adventure Mini Golf Centre, Zizzi’s and TGI Friday restaurants. The commercial development, together with the Council leisure facilities, representing a significant new leisure offering for residents in 2020
120. **York Outer Ring Road and Dualling** – Elsewhere on the agenda is a report updating Members on the proposal to amalgamate the Dualling

scheme and Junction Improvement schemes on the York Outer Ring Road.

121. **York Station Frontage Scheme** - The current highway access arrangements to the front (east) of York railway station provide a number of transport conflicts and a confused arrival experience. This creates the impression of vehicle dominance.
122. The York Station Front proposal is to reconfigure the area to the front of the station. A planning application for the full masterplan was submitted in March 2019. The scheme comprises:
 - Removal of the existing “Queen Street Bridge”, which is now no longer required, this will enable the reorganisation of the highway and relocation of bus-stops to improve the public realm;
 - Removal of the “Parcel Square” area of the station to enable the relocation of taxis out of the station portico;
 - Relocation of short stay parking to the south of the station;
 - Provision of new public realm spaces in Tea Room Square and around the Medieval City Walls to enable a more attractive and pleasing environment to arrive depart or even linger.
123. Depending on the level of intervention delivered the scheme is projected to cost up to £35m. A number of potential funding sources have been identified. £11million has been secured from the West Yorkshire Plus Transport Fund (WY+TF) as part of the York Central Access scheme. A bid has been submitted through the West Yorkshire Combined Authority to the Transforming Cities Fund for the majority of the remainder. An initial allocation of £200k has been approved by WYCA for the further development of the schemes included in the TCF bid. Other funding streams are being actively progressed

Council Plan

124. The Capital Budget process ensures that all bids received for capital funding address the aspirations of the Council Plan with each proposal addressing at least one corporate priority. The capital schemes put forward for consideration are derived from the service and area asset management plans which look at the capital needs and requirements of

the service. All schemes that have progressed through for further consideration in this report have demonstrated through the Capital budget process that they directly contribute toward the achievement of the Council Plan, as referred to in paragraph 2.

Implications

Financial Implications

125. The financial implications are considered in the main body of the report.

Human Resources Implications

126. There are no HR implications as a result of this report.

Equalities Implications

127. An Equalities Impact Assessment (EIA) has been completed on the overall impact of the budget proposals and this is available as an annex to the Financial Strategy report elsewhere on this agenda. This assessment has been developed as a result of individual impact assessments for services which are undergoing changes. The impact assessment examines the benefits of recommended growth and capital investment alongside the risks associated with any savings proposals to ensure any negative impact for a particular group, sector or community is eliminated or counterbalanced. Where a screening of the potential implications has identified it appropriate, a full EIA will be completed for individual proposals. In addition, all capital schemes have considered any potential equalities implications prior to being included in this report.

Legal Implications

128. The Council is legally required to set a balanced 3 year capital programme but to assist with Medium Term Financial Planning sets a 5 year programme. In addition schemes within the capital programme will themselves will be in receipt of legal advice where necessary throughout the year.

Crime and Disorder

129. There are no crime and disorder implications as a result of this report.

Information Technology

130. The information technology implications are contained in the main body of this report.

Property

131. The property implications of this paper are included in the main body of the report which covers the funding of the capital programme from the disposal of Council assets.

Risk Management

132. There are a number of risks inherent in the delivery of a large scale capital programme. To mitigate against these risks the capital programme is regularly monitored as part of the corporate monitoring process, and the project management framework. This is supplemented by internal and external audit reviews of major projects. In addition, the Major Projects all have regular monthly Board meetings to plan, monitor and review their capital schemes to ensure that all capital risks to the Council are monitored and where possible minimised.
133. Additional risk exists in relation to existing schemes in the Capital programme whereby costs are incurred in developing a project, however no asset is achieved. Such costs may then need to be written off to the revenue account. The risks in relation to this are referred to separately in the Revenue Budget Report also on this Agenda.

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	Report Approved		Date
Wards Affected: All			
For further information please contact the author of the report			

Specialist Implications:
Legal – Not Applicable
Property – Not Applicable
Information Technology – Not Applicable

Wards Affected:

All

For further information please contact the author of the report

Annexes

Annex A – Growth Summary 2020/21 – 2024/25

Annex B – Capital Programme 2020/21 – 2024/25